

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: David N. Ku

Application No.: 10/658,932 Filed: September 9, 2003

Flexible Spinal Disc For:

Group Art Unit: 3738 Examiner: David H. Willse

Date: November 1, 2005

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature, as well as a copy of the International Search Report and the Written Opinion of the International Search Authority. Also listed on the Form PTO-1449 are documents cited in the International Search Report for the corresponding International Application Number PCT/US2004/028981. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

This Information Disclosure is submitted in accordance with 37 C.F.R. § 1.97(b)(4), before the mailing of a first action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

Julie H. Richardson Registration No. 40,142

Myers Bigel Sibley & Sajovec, P.A. P. O. Box 37428 Raleigh, North Carolina 27627 Telephone: (919) 854-1400 Facsimile: (919) 854-1401

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Rosa Lee Brinson

Substitute form 1449A/PTO Complete if Known **Application Number** 10/658,932 INFORMATION DISCLOSURE September 9, 2003 Filing Date First Named Inventor David N. Ku STATEMENT BY APPLICANT NOV O J 700K Group Art Unit 3738 TATE **Examiner Name** David H. Willse (use as many sheets as necessary) Attorney Docket Number 9537-3

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| | | First Named Inventor | David N. Ku | |
| 0.7 | | Group Art Unit | 3738 | |
| (use as many sheets as necessary) | | Examiner Name | David H. Willse | |
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